



648.41258CX1

JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: S. OKUNO, et al.

Application No.: 10/753,483

Filed: JANUARY 9, 2004

For: RAILWAY CAR

Art Unit: 3617

Examiner: Frantz F. Jules

Confirm. No.: 6885

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97 AND 1.98**

**Mail Stop: AMEND – NO FEE**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

November 21, 2005

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of an Official Action dated August 19, 2005, from the Korean Patent Office, in connection with Korean Patent Application No. 2002-10215, which corresponds to the above-identified application. Also enclosed are copies of documents cited in this Official Action. Further enclosed is a Form PTO/SB/08A and B, listing the enclosed documents.

This Information Disclosure Statement is being submitted subsequent to a first Office Action on the merits in the above-identified application, but prior to a Final Office Action or issuance of a Notice of Allowance, or issuance of any other action closing prosecution on the merits in the above-identified application. For satisfying requirements of 37 CFR 1.97(c), the following Statement is made under 37 CFR 1.97(e):

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a

foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement, noting that the 3-month period ended on Saturday, November 19, 2005, and further noting 37 CFR 1.7.

It is respectfully submitted that requirements of 37 CFR 1.98(a)(3) are satisfied, in connection with documents cited in the enclosed Official Action and enclosed herewith, by respective English abstracts of the cited documents enclosed herewith.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied in connection with each of the documents listed on the enclosed Form, that were cited in the enclosed Official Action. Accordingly, consideration of the listed documents, upon further examination of the above-identified, is respectfully requested.

Please charge any shortage of fees due in connection with the filing of this paper to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Docket No. 648.41258CX1), and please credit any excess fees to such Deposit Account.

Respectfully submitted,

**ANTONELLI, TERRY, STOUT & KRAUS, LLP**

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	<b>10/753,483</b>
		Filing Date	<b>01/09/2004</b>
		First Named Inventor	<b>S. OKUNO</b>
		Art Unit	<b>3617</b>
		Examiner Name	<b>Frantz F. Jules</b>
Sheet	<b>1</b>	of	<b>2</b>
(use as many sheets as necessary)			
Attorney Docket Number <b>648-41258CX1</b>			

#### **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		JP 11-301476	11/02/1999	J. Kajima, et al.		A
		JP 11-192941	07/21/1999	K. Kurita		A
		JP 02-246863	10/02/1990	A. Mochizuki, et al.		A

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<p>Substitute for form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>November 21, 2005</p> <p>(use as many sheets as necessary)</p>				<p align="center"><b>Complete if Known</b></p> <table border="1"> <tr> <td>Application Number</td> <td>10/753,483</td> </tr> <tr> <td>Filing Date</td> <td>01/09/2004</td> </tr> <tr> <td>First Named Inventor</td> <td>S. OKUNO</td> </tr> <tr> <td>Group Art Unit</td> <td>3617</td> </tr> <tr> <td>Examiner Name</td> <td>Frantz F. Jules</td> </tr> </table>		Application Number	10/753,483	Filing Date	01/09/2004	First Named Inventor	S. OKUNO	Group Art Unit	3617	Examiner Name	Frantz F. Jules
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Sheet	2	of	2	Attorney Docket Number											
				648.41258CX1											

## **NON-PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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